



* 画 deterministic PDA, 用 PDA 去完成判断 string 是否 valid 的过程

* Pumping Lemma for CFG

* left factoring / left recursive

* 判断可不可以 ROP



Follow First

* Simple assignment statement

* specification



找P

找I

先找I再找P

Pushdown Automaton (PDA) → 借助读取一个容量无限的 stack, 执行一个能做 ϵ -转移的 非确定有限状态机 $\rightarrow 0 \rightarrow 1$

→ Machine Model of language recognition that allows a similar correspondence to be established with the class of all context-free language. → 依据 context-free language 建立一个 similar correspondence

→ 知 Finite State machine (described by state-transition diagram 很象)

→ 有限状态自动机: 表示有限个状态以及在这些状态之间的转移和动作等行为的数学计算模型

区别: PDA 有 "push down store" or stack of unbounded depth

→ Notation: $C, d \mapsto w$: Current input string token is C and the token at the top of the stack is d

C : vocabulary token

d : stack token, be popped

w : string of stack tokens

↓ 上面是目前的状态, 下面是作用

Read the input token and replace the top of the stack by the components of w , with the left most component of w becoming the new top of the stack

→ $C \mapsto \epsilon$: state change without reading an input token

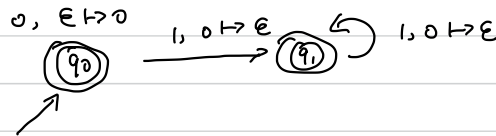
$d \mapsto \epsilon$: state change, a stack token string is pushed into the stack, but without popping out anything

→ 基于, 可以在没有 被 pop 掉 的情况下添加东西, 初始 stack 可为空

→ An input string is accepted if there is a directed path from the starting state to an accepting state whose sequence of input tokens spells out the string and the stack

& Acceptance at the end of input, stack and input is empty

Example: $\{0^i 1^j \mid i \geq 0, j \geq 0\}$ over $\{0, 1\}$



Example string: 000 111

State	input	Read	stack
0	000 111	/	ϵ
1	00 111	0	0
2	0 111	0	00
3	1 11	0	000
4	11	1	00
5	1	1	0
6	ϵ	1	ϵ

→ 根据具体的图以及上面的 design

$0, \epsilon \mapsto 0$

指的是如果 input 是 0, 那么把 ϵ 从 stack 中 pop (即什么都不 pop), 然后把 0 加入 stack, 这里的加入,

指的是 replace !!! 不是 pop!

比如, $C, d \mapsto w$, 并不是先

把 d 给 pop 掉, 再把 w 给

push 进去, 而是 [把 d 给换

成 w], 区别好, stack 是 FIFO.

如果是另一种方法的话, w 会被放在

stack 的最左

Acceptance

↓ 如果到最后 input 和 stack 均只

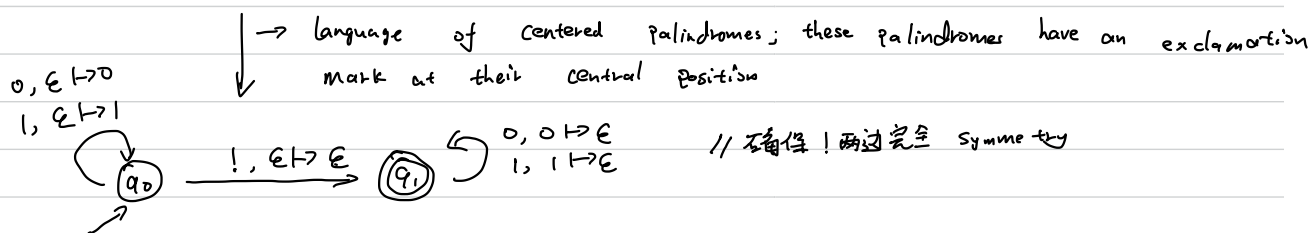
剩 ϵ , 则是 accepted

注意! 上课笔记中

只有三个 column: State, Remain input, Stack

Example 2

$$\{w!w^R \mid w \in \{0,1\}^*\}$$



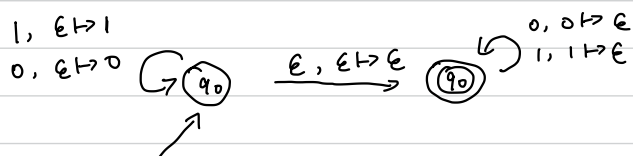
Example string: 110!011

state	input	read	stack
0	110!011	/	ε
1	10!011	1	1
2	0!011	1	11
3	!011	0	011
4	011	!	011
5	1	0	11
6	ε	1	1
7	ε	ε	ε → Acceptance

Example 3

$$\{ww^R \mid w \in \{0,1\}^*\}$$

→ All even length palindromes over {0,1}



Example string: 110011

state	remain input	stack
0	110011	ε
1	10011	1
2	0011	11
3	011	011
4	11	11
5	1	1
6	ε	ε → Accepted

PDA & Context free language

* For any context-free language, we may construct a PDA that recognizes the language.

首先, 对于一个 context free grammar 来说:

打个比方:

non-terminal symbol $\{a^{3i} b^k c^{2i+3} \mid i \geq 1, k \geq 1\}$
 $\rightarrow S \rightarrow \{a^3 S c^2 \mid a^3 X c^2 c^3\}$ production
 $X \rightarrow \{b^k \mid b\}$ terminal symbol

在画 according 的 PDA 时, 只有两个 state: q_0 (initial state) 和 q_1 (accepting state)

首先肯定得有个这个 transition, 才让接下来 PDA 可以 recognize

$\rightarrow q_0 \xrightarrow{\epsilon, \epsilon \mapsto S} q_1$

然后, 需要 for each possible input token (terminal symbol) C , there is to be a transition from q_1 to itself: $C, C \mapsto \epsilon$

$\rightarrow q_0 \xrightarrow{\epsilon, \epsilon \mapsto S} q_1$
 $\begin{matrix} a, a \mapsto \epsilon \\ b, b \mapsto \epsilon \\ c, c \mapsto \epsilon \end{matrix}$

最后, For each production N , there is to be a transition from q_1 to itself of the form $\epsilon, N \mapsto$ the production

$\epsilon, N \mapsto$ the production

$\rightarrow q_0 \xrightarrow{\epsilon, \epsilon \mapsto S} q_1$
 $\begin{matrix} a, a \mapsto \epsilon \\ b, b \mapsto \epsilon \\ c, c \mapsto \epsilon \\ \epsilon, S \mapsto a^3 S c^2 \\ \epsilon, S \mapsto a^3 X c^2 c^3 \\ \epsilon, X \mapsto b^k \\ \epsilon, X \mapsto b \end{matrix}$

Example string: $a^3 b c^5 = a a a b c c c c c$

State	Remain input	Stack
0	aaa b c c c c c	ϵ
1	aaa b c c c c c	S
2	aaa b c c c c c	aaa X c c c c c
3	aaa b c c c c c	aaa X c c c c c
...	消 a	
4	b c c c c c	X c c c c c
5	b c c c c c	b c c c c c
6	消 b, c	
6	ϵ	ϵ $\rightarrow$ accept

CFG

PDA

Non deterministic

例题:

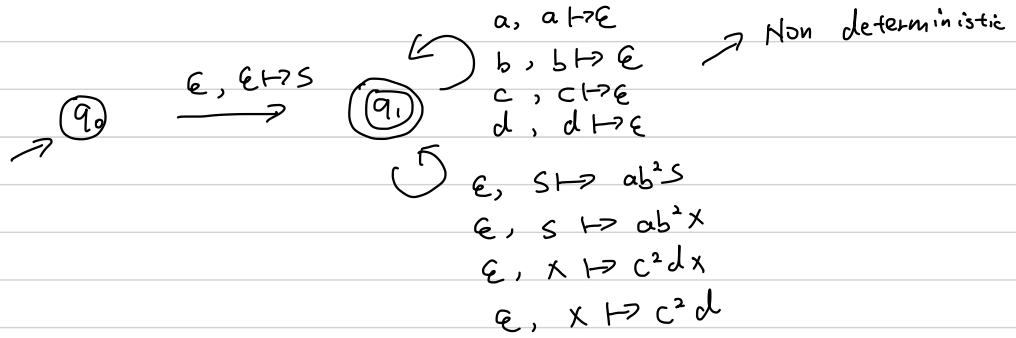
Assignment 5 2019

Design a deterministic pushdown automaton that recognizes the language

$$L = \{a^k b^{2^k} c^{2^i} d^i \mid i \geq 1, k \geq 1\}$$

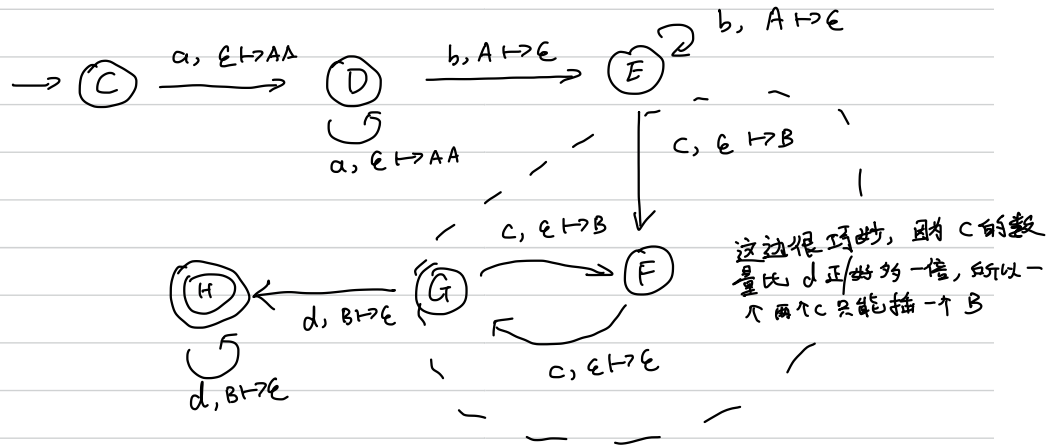
$$S \rightarrow ab^2S \mid ab^2X$$

$$X \rightarrow c^2dX \mid c^2d$$



Deterministic:

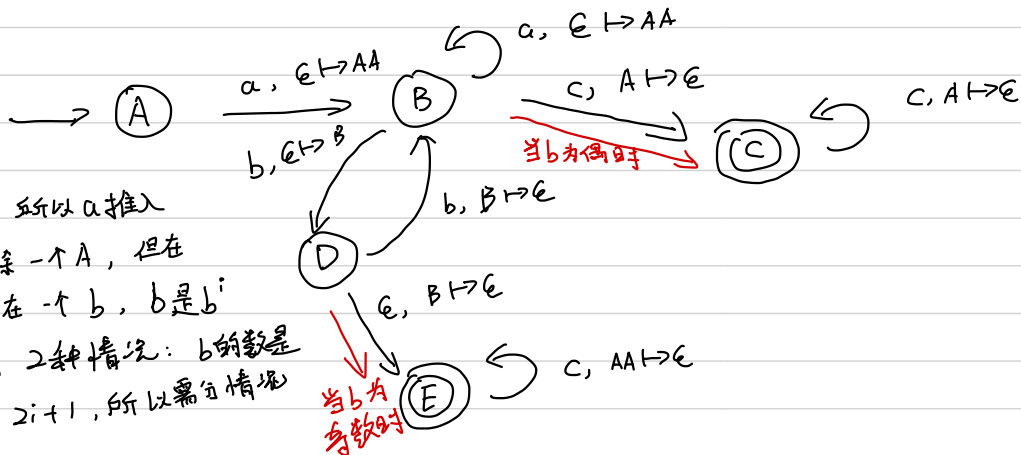
比较关键的就是: 要确保到最右取被消掉



Assignment 5, 2020

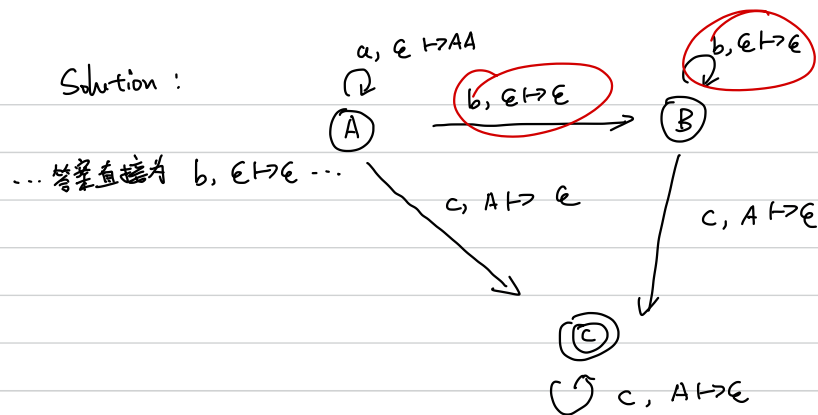
Design a deterministic pushdown automaton that recognizes the language

$$L = \{a^k b^i c^{2^k} \mid i \geq 0, k \geq 1\}$$



因为 $C = 2a$, 所以 a 推入 AA, 每个 c 消除一个 A, 但在它们中间, 存在一个 b, b 是 b^i b 的数量有 2 种情况: b 的数量为 $2i$ 或 $2i+1$, 所以需要分情况讨论

Solution :



Pumping Lemma For Context-Free Languages CFG

→ Suppose that a language L is CFG.

There exist a constant p such that any string $s \in L$ of length at least p

s can be written as $s = uvwxy$

where:

$p_1) v \neq \epsilon$ or $x \neq \epsilon$

$p_2) |vwx| \leq p$

$p_3) uv^iwx^iy \in L$ for all $i \geq 0$

Example: Prove $A = \{a^i b^i c^i \mid i \geq 0\}$ is not context free

↳ Assume that A is context free

$\therefore$ Let p be the constant

Let s be $s = a^p b^p c^p$

$\therefore |s| > p$

$\therefore$ By Pumping Lemma

s can be written as $s = uvwxy$

$\therefore$ By Pumping Lemma

$uv^2wx^2y \in L$

However:

if v, x contain more than one variable: number of a, b, c is unequal

$uv^2wx^2y \notin L$

if v, x contain only one variable: number of a, b, c is unequal

$uv^2wx^2y \notin L$

$\therefore uv^2wx^2y \notin L$

$\therefore p \cdot L$ for L doesn't hold

$\therefore L$ is not context free

例3:

Are the following languages context free or non-context free?

(a) $A = \{a^i b^k c^k \mid i \geq k \geq 1\}$

Assume A is context free

Let p be the constant

Let $s = a^p b^p c^p$ be the string

$\therefore |abc| > p$

$\therefore s = a^p b^p c^p$ can be written as $uvwx$

$\therefore |vwx| \leq p$

$\therefore vwx$ can only be b or ab

Consider uv^2wx^2y

when vwx is ab , the number of b $\neq |p|$

$\therefore uv^2wx^2y \notin L$

when vwx is b , the number of b $\neq |p|$

$\therefore uv^2wx^2y \notin L$ #或者说如果这样的话 $|b| > |a|$

$\therefore uv^2wx^2y \notin L$

$\therefore L$ is not CFG

2. (a) We show that A is not context-free. Assume that A is context-free and let p be the constant given by the pumping lemma. We consider the string $s = a^p b^p c^p \in A$. Since $|s| \geq p$, we can write $s = uvwxy$ where the parts u, v, w, x, y satisfy the conditions of the pumping lemma.

- i. If v or x contains occurrences of two or more distinct symbols, then uv^2wx^2y contains symbols in "incorrect order" and is not in $a^*b^*c^*$, and hence uv^2wx^2y

↓ 第一个字母数量上的 contradict

is not in A .

In the following cases, we can then assume that v and x each contains occurrences of at most one symbol.

- ii. If v and x do not contain any occurrences of symbol a , then uv^2wx^2y contains more b 's than a 's or more c 's than a 's. This means that uv^2wx^2y is not in A .
iii. The remaining possibility is that v or x (or both) contains occurrences of the symbol a . Since v and x each contain only one type of symbol, this means that vx has no b 's or vx has no c 's. Thus $uv^0wx^0y = uwy$ has fewer a 's than b 's or fewer a 's than c 's. Thus, uv^0wx^0y is not in A .

} 早就定义上的 contradict

We have shown that all cases lead to a contradiction. This implies that A is not context-free.

$$\begin{aligned} \text{c)} \quad B &= \{a^{2i} b^{k+1} c^3 d^{2m} \mid i \geq 1, k \geq 1, m \geq 1\} \\ S &\rightarrow x1\bar{x} \\ X &\rightarrow a^2 X c^3 \mid a^2 z c^3 \\ Z &\rightarrow b b Z \mid b \\ Y &\rightarrow d^2 Y \mid d^2 \end{aligned}$$

Assignment 5, 2020

$$\text{c)} \quad A = \{a^i b^k c^i d^i \mid i \geq 1, k \geq 1\}$$

Assume A is CFG

Let p be constant

$$\text{Let } s = a^p b^k c^p d^p$$

$$\therefore |s| > p$$

$\therefore s$ can be written as $uvwx y$

consider $uv^iwx^i y$:

if v, x contain more than one elem

then $uv^2wx^2 y$ is not in A

So v, x can only contain at most one elem

Suppose v, x contain b , then $uv^0wx^0 y = uwy$ which don't have $b \rightarrow uv^0wx^0 y \notin A$ 并用 b 的数量因为定义时, b 的指数与 a, c, d 不一样

Suppose v, x each contain at most one of a, c, d ,

then $uv^2wx^2 y$ makes the occurrences of a, c, d not equal

$\therefore uv^2wx^2 y$ not CFG

$\therefore A$ is not CFG

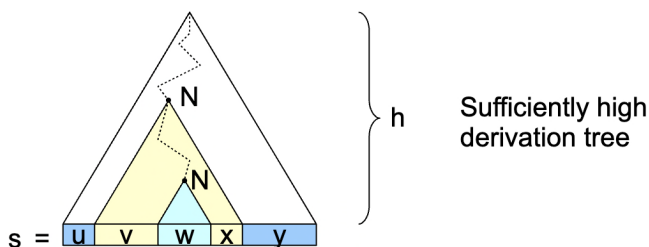
$$c2) B = \{a^{2i}b^k c^{3k}d^i \mid i \geq 0, k \geq 1\}$$

$$S \rightarrow a^2 S d^3 \mid a^2 X d^3$$

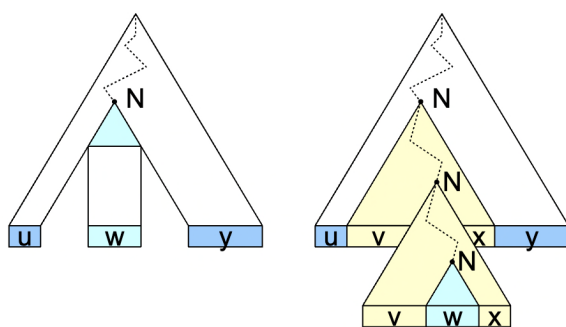
$$X \rightarrow b c^3 X \mid b c^3$$

↳ Pumping Lemma for CFL

↳ 对于一个符合集 CFL 的字符，可以按照规则重复 Pump 其中的若干音阶，得到的新字符串依然属于这个字符



也就是说，在一个足够长的字符串里面，根据鸽子洞原理，肯定会有 non terminal 是重复的，那么如果向下继续延展这些 non terminal，得出的字符串依然属于这个 cfl 中



Generating $uvwx^0y^0$

Generating $uvwx^2y^2$

一些证明的例子：

• $L = \{a^n b^n c^n \mid n \geq 1\}$ 或 $L = \{a^i b^j c^j \mid i \geq 0\}$ 或 $L = \{a^i b^j c^j \mid i \geq 2\}$ ，换句话说， L 就是包含 $a^* b^* c^*$ 所有字符串且 a 、 b 、 c 三者数目相同的语言。

• 令 n 为泵引理常数， $w = a^n b^n c^n$ 属于 L ， $w = uvxyz$ ，而 $|vxy| \leq n$ ， $|v| \geq 1$ ，则 vxy 不可能同时包含 a 与 c 。

1. 当 vxy 不包含 a 时， vy 只可能包含 b 或 c ，则 uxz 包含 n 个 a 及不到 n 个的 b 或 c ，使得 uxz 不属于 L 。

2. 当 vxy 不包含 c 时， uxz 会包含 n 个 c 及不到 n 个的 a 或 b ，使得 uxz 不属于 L 。

• 因此，无论是上述何种状况， L 都不会是上下文无关语言。

• $L = \{a^i b^j \mid j = i^2\}$

• 令 n 为泵引理常数， $w = a^n b^{n^2}$ ， $w = uvxyz$ ，而 $|vxy| \leq n$ ， $|v| \geq 1$

1. 若 vxy 只包含 a ，则 uxz 会包含不到 n 个 a 及 n^2 个 b ，不属于 L ；

2. 若 vxy 只包含 b ，则 uxz 会包含 n 个 a 及不到 n^2 个 b ，不属于 L ；

3. 若 vxy 里有 a 也有 b ，

1. 若 v 或 y 包含 a 与 b ， uv^2xy^2z 不在 $\{a^i b^j\}$ 里；

2. 若 v 只包含 i 个 a ，且 y 只包含 m 个 b ， $uv^{1+k}xy^{1+k}z$ 会包含 $n + ik$ 个 a 与 $n^2 + mk$ 个 b ，由于两者都是线性成长，不可能永远满足 $\{a^i b^j \mid j = i^2\}$ 的条件，不属于 L 。

• 因此，无论是上述何种状况， L 都不会是上下文无关语言。

• $L = \{ww \mid w \in \{0, 1\}^*\}$

• 令 n 为泵引理常数， $w = 0^n 1^n 0^n 1^n$ 属于 L ， $w = uvxyz$ ，而 $|vxy| \leq n$ ，则 vxy 必然为 $0^i 1^j$ 或 $1^j 0^i$ 形式（此处有 $i, j \in \mathbb{N}, i + j \neq 0$ ）。即 vxy 无法同时包含前后两组 0，也无法同时包含前后两组 1。将 $uvxyz$ 转变成 uxz 必然导致前后两组 0 或两组 1 的数目产生差异。使得 uxz 不再满足 ww 形式。亦即 uxz 不属于 L 。

• 因此， L 都不会是上下文无关语言。

• $L = \{x^i y^j z^k \mid i \neq j \text{ and } j \neq k\}$

• $L = \{b^n a^{2n} b^n \mid n \geq 0\}$

• $L = \{a^n b^m c^m \mid n, m \geq 0\}$

$$uv^i xy^i z$$

Parsing

↳ Parsing is the process of determining whether a string of tokens can be generated by a grammar

↳ Brute Force: 就是系统地生成这个 grammar 中能生成的所有 string, 然后和这个 string 去比对

↳ 可能是考点: 用 dynamic programming 的一个算法来完成 parsing in $O(n^3)$

↳ Deterministic Context-free language can be parsed in linear time - sophisticated parsing table construction

↳ Recursive Descent (Simple, relatively efficient approach)

↳ Basic idea:

并不要求知道具体如何定义

* A recognizing function is coded for each nonterminal symbol in the grammar

每一个 grammar 的 nonterminal 都有一个 function

* The current input token is used to decide which of several possible productions is the appropriate one to use

Example: set of balanced strings $\{0^i 1^i \mid i \geq 0\}$

grammar: $\langle \text{balanced} \rangle \rightarrow 0 \langle \text{balanced} \rangle 1 \mid \epsilon$

idea: 就是该如何定义 function:

if next token is 0:

use $\langle \text{balanced} \rangle \rightarrow 0 \langle \text{balanced} \rangle 1$ # 有多少个 0 就有多少个 1

if next token is 1 or End of string (EOS)

use $\langle \text{balanced} \rangle \rightarrow \epsilon$

所以在读到 1 时直接 ϵ

Program 11.1

```
typedef enum { ZERO, ONE, EOS } vocab;  
vocab gettoken(void) { ... }  
vocab t;  
void MustBe(vocab ThisToken)  
{ ASSERT( ThisToken != EOS )  
  /* verifies and then updates current token t */  
  if (t != ThisToken)  
  { printf("String not accepted.\n"); exit(0); }  
  t = gettoken();  
}  
void Balanced(void)  
{ switch (t)  
  { case ONE:  
    case EOS: /* <empty> */  
      break;  
    default: /* 0 <balanced> 1 */  
      MustBe(ZERO);  
      Balanced();  
      MustBe(ONE);  
    }  
}  
int main(void)  
{ t = gettoken();  
  Balanced();  
  if (t != EOS) printf("String not accepted.\n");  
  return 0;  
}
```

RDP (Recursive descent parsing) 存在的问题:

① 因为是根据 token 选择 production, 如果一个 token 实际指向了多个 production, parser 不知道该选哪个

→ token 0 both begins a production and appears after $\langle PA \rangle$, then parser can't know when to use ϵ -production

→ 怎么解决这个问题呢? : 使用 Concatenation 的 left distributivity: $\underline{R}S + \underline{R}T = \underline{R}(S+T)$

$\langle \text{sequence} \rangle \rightarrow \langle \text{statement} \rangle \mid \langle \text{statement} \rangle ; \langle \text{sequence} \rangle$

|| equivalent to

$\langle \text{sequence} \rangle \rightarrow \langle \text{statement} \rangle \langle \text{sequence tail} \rangle$

$\langle \text{sequence tail} \rangle \rightarrow \langle \text{empty} \rangle \mid ; \langle \text{sequence} \rangle$

这样就叫 left factoring

或是另外一个差不多的例子:

$A \rightarrow \alpha A_1 \mid \alpha A_2$

↓

$A \rightarrow \alpha A'$

$A' \rightarrow A_1 \mid A_2$

Example:

$S \rightarrow ab sa \mid abc Sc \mid abdc \mid bcc$

↓

没注意看是 $abc Sc$ 而不是 $absc$

$S \rightarrow ab S' | bcc$

$S \rightarrow ab s' | bcc$

$S' \rightarrow S S'' | dc$

or

$S' \rightarrow sa | csc | dc$

$S'' \rightarrow a | c$

* 书上 pg 233-234 展示了一个用 left factoring 会令 grammar ambiguous 的例子

Palindromes (RDP 无法解决的问题)

→ 这个 palindrome 是这样定义的:

$\langle \text{palindrome} \rangle ::= \langle \text{empty} \rangle \mid 0 \mid 1 \mid 0 \langle \text{palindrome} \rangle 0 \mid 1 \langle \text{palindrome} \rangle 1$

$\langle \text{empty} \rangle ::=$

两个问题:

1. $\langle \text{empty} \rangle$ 是由 $\langle \text{palindrome} \rangle$ 生成的, 但是, 0, 1, EOS 都是紧跟着 $\langle \text{palindrome} \rangle$ 的, 所以当 process 到 $\langle \text{palindrome} \rangle$ 时, RDP 不知道该不该选择 $\langle \text{empty} \rangle$

2. Two productions both have 0 as their first tokens and two other productions both have 1 as their first tokens. RDP 不知道该选哪个

-: Left factoring not improve the situation

∴ RDP 根本用不了

Second typical problem: Left-recursive productions * 真的不懂

Determining whether a grammar allow RDP:

RD?1 :

For each nonterminal $\langle N \rangle$, let $\langle N \rangle ::= \alpha_1 | \alpha_2 | \dots | \alpha_n$ be all the productions for $\langle N \rangle$

DDI required that

$$\text{first}(\alpha_i) \cap \text{first}(\alpha_j) = \emptyset$$
 要把整个 production 的全走一遍

Whenever $i \neq j$, where for any string α , $\text{first}(\alpha)$ is the set of all initial tokens in non empty strings derivable from α

RO?2:

If the empty string ϵ is derivable from a nonterminal $\langle N \rangle$

ROQ2 required that

$\text{follow}(N) \cap \text{first}(N) = \emptyset$

where for any nonterminal $\langle N \rangle$, follow $\langle N \rangle$ is the set of all initial tokens in nonempty strings that may follow $\langle N \rangle$ in any derivation, possibly including the EOS

G

follow(N) : $\begin{cases} S \rightarrow \dots \rightarrow \dots \rightarrow \alpha_k N_b \alpha_{k'} \\ \qquad \qquad \qquad \qquad \qquad \qquad \qquad \searrow b \in \text{follow}(N) \\ S \rightarrow \dots \rightarrow \dots \rightarrow B_k N_m \\ \qquad \qquad \qquad \qquad \qquad \qquad \qquad \downarrow Eos \in \text{follow}(N) \end{cases}$

Assignment 6. 2019.

1. $S \rightarrow AB \mid a$

$$A \rightarrow CD$$
$$B \rightarrow LAB \mid \epsilon$$

$C \rightarrow d s d l c$

$$D \rightarrow c\bar{C}D|e^-$$

c) $\text{FIRST}(AB) = \{d, c, \epsilon\}$ $\times$ $\{d, c, \epsilon\}$

FIRST(CD) = {d, c, e}

$$\text{Follow}(S) = \{d\} \times \{d, \text{EOS}\}$$
$$\text{Follow}(A) = \{ \$ \} \cup \{ b, d, \epsilon \}$$

Follow CB = {d, eos}

$$\text{Follow}(c) = \{b, c, d, \text{EOS}\}$$

FOLLOW (D) = { b, d, eos }

$$\text{Follow}(B) =$$

Follow (9)

$$S \rightarrow AB \rightarrow CDB \rightarrow d s d D B \rightarrow d A B \underline{d} D B$$

11

$S \rightarrow AB \rightarrow CD \quad B \rightarrow CD \quad \underline{bAB} \quad | \text{ Follow}(C) =$

$$S \rightarrow AB \rightarrow CDB \rightarrow dSdB$$
$$\rightarrow dABdB \rightarrow dC \underline{d}B$$

$\hookrightarrow \sim \vdash S \leadsto AB \rightarrow CDB \rightarrow C_{\sim}CD B$

$$S \rightarrow AB \rightarrow CDB \rightarrow CB \rightarrow \underline{C} \underline{B} \underline{A} \underline{B}$$
$$S \rightarrow AB \rightarrow CDB \rightarrow d s d D B \rightarrow d A B d D B$$
$$\rightarrow dCD \ dDB \rightarrow dC \ dDB$$

c) Does the grammar allow the use of RD?

RDP1: ✓

RD? 2:

$\text{Follow}(B) \cap \text{FIRST}(B) = \emptyset$
 $\text{Follow}(D) \cap \text{FIRST}(C) = \emptyset$

} 这里要用对比那些可以 derive 到 ϵ 的 production 就可以

left factoring / left recursive:

(a) $S \rightarrow abSa \mid abcSc \mid abdc \mid bcc$

LL

$$S \rightarrow abS' \mid bcc$$

$$S' \rightarrow S a \mid c S c \mid d c$$

c) $S \rightarrow bSa \mid ccaSb \mid ccbSa \mid abc$

11

$$S \rightarrow ccS' \mid bSa \mid abc$$

$$S' \rightarrow aSb \mid bSa$$

→ 这样的 CC 表在 S 中，但用 CCS' 来替代保持 S 中

$$\begin{cases}
 S \rightarrow s a \mid s b c \mid \underline{cc} \mid \epsilon \\
 \downarrow \\
 S \rightarrow \underline{cc} S' \mid \underline{s'} \\
 S' \rightarrow \underline{a S'} \mid \underline{bc S'} \mid \underline{\epsilon}
 \end{cases}$$

把 $sbc \rightarrow bcs'$ 关放在 s' 中
 ϵ 保持在 s' 中
 这里的 s' 实际上是 $\epsilon S'$, 设 ϵ 的语就不需要 s' , 看

e 保持在 s' 中

这里的 S' 实际上是 $\in S'$, 所以如果 S 中没 $\in$ 的话就不需要 S' , 看 $\checkmark$

~~(d)~~ $S \rightarrow SB \mid \epsilon$ $\Rightarrow$ $S \rightarrow s'$
 $B \rightarrow Bb \mid \underline{a}$ $s' \rightarrow Bs' \mid \epsilon$ $\checkmark$
 $B \rightarrow B' \quad \times \quad B \rightarrow \alpha B'$
 $B' \rightarrow bB' \mid \textcircled{a} \times \rightarrow \epsilon$

$$B \rightarrow B' \quad \times \quad B \rightarrow \alpha B'$$

$$B' \rightarrow b B' \mid \alpha x \rightarrow e$$

~~(c)~~ $S \rightarrow abcSd \mid abdds \mid cdabs \mid cdbb$

11

$$S \rightarrow ab S' \mid cd S'$$

$$S' \rightarrow c S d \mid d d S \mid a b S \mid b b$$

아

$$\begin{cases} S \rightarrow \underline{abs'} \mid \underline{cds''} \\ S' \rightarrow \underline{csd} \mid \underline{dds} \\ S'' \rightarrow \underline{abs} \mid \underline{bb} \end{cases}$$

两个一样的 prefix, 它们的
的 分开放

c) $S \rightarrow Sab \mid Sac \mid b \mid c$

11

↓↓ → left recursion

$$S \rightarrow s' \mid bs' \mid cs'$$

$$S' \rightarrow abS' \mid acS' \mid \epsilon$$

11

x 不需要

$$S \rightarrow s' \mid bs' \mid cs'$$

$$S' \rightarrow a S'' \mid \epsilon$$

$$S'' \rightarrow bS' \mid cS'$$

Assignment 5. 2020

$$ca) \quad S \rightarrow acSa \mid acbSb \mid acdb \mid cbb$$

$\Downarrow$

$$S \rightarrow acS' \mid cbb$$

$$S' \rightarrow Sa \mid bSb \mid db$$

$$cb) \quad S \rightarrow bSa \mid ccaSb \mid ccbSa \mid abc$$

$\Downarrow$

$$S \rightarrow bSa \mid ccS' \mid abc$$

$$S' \rightarrow asb \mid bSa$$

$$cc) \quad S \rightarrow Sa \mid sbc \mid cc \mid \epsilon$$

$\Downarrow$

$$S \rightarrow ccS' \mid S'$$

$$S' \rightarrow as' \mid bcs' \mid \epsilon$$

$$cd) \quad S \rightarrow SA \mid \epsilon$$

$$A \rightarrow Ab \mid a$$

$\Rightarrow$

$$S \rightarrow S'$$

$$S' \rightarrow AS' \mid \epsilon$$

$$A \rightarrow aA'$$

$$A' \rightarrow bA' \mid \epsilon$$

Assignment 6. 2020

$$S \rightarrow cAa \mid aAb \mid bB$$

$$A \rightarrow dAb \mid cB \mid \epsilon$$

$$B \rightarrow bB \mid cBa \mid \epsilon$$

Follow (S) : $\{\epsilon, os\}$

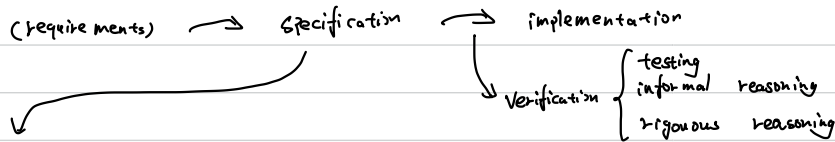
Follow (A) : $S \rightarrow cAa \rightarrow \{a\} \quad \left. \begin{array}{l} S \rightarrow aAb \rightarrow \{b\} \end{array} \right\} \{a, b\}$

Follow (B) : $S \rightarrow cAa \rightarrow cdAb \rightarrow cdcbB \rightarrow \{b\} \quad \left. \begin{array}{l} S \rightarrow bB \rightarrow bbB \rightarrow bbbcBa \rightarrow \{a\} \end{array} \right\} \{a, b, \epsilon, oss\}$

cb) Follow (B) $\cap$ FIRST(B) = $\{b\} \rightarrow$ break $\neq 0 \neq 2 \rightarrow$ can't RO?

Intro to Specification:

* 在设计一个程序 / 算法时, 往往需要想很多问题是 / 需求, 所以创建一个程序的过程可以分为以下步:



Specification is a contract:

Total correctness: Program started in a state satisfying the pre-condition && terminates in a state that satisfying the post-condition 既满足 pre condition, 又以满足 post condition 的方式结束

partial correctness: If the program terminates, then the variable at end satisfying the post-condition

总而言之, Specification 就是来判断 program 应该做什么的, 是非常详细的, 比如一个 Array search 简单的 program, 就需要非常充分的 Specification, 像什么: input 是啥, 我们取搜的有哪些范围, ...

* Specification 可以分为 **Static** 或是 **Dynamic** 的

↓
一般指的是 identifier 的 type, identifier 被定义为什么类别

↓ 比如刚刚 Array Search 的例子:

const 代表不会变 = `const int max; /* maximum number of entries */
typedef Entry; /* type of entries, use == for equality */
const int n; /* number of entries */
const Entry x; /* search target */
Entry A[max]; /* A[0:n-1] are the entries to search */
bool present; /* search result */` → Declarative interface

那么像 dynamic Specification 则是诸如:

- * The requirements on the value of present after the search
- * The assumptions on the value of n before the search
- * The requirement that the Array segment A[0:n-1] not be changed

诸如这些若是用 Assertion 来写

→ 用 logical formula 来写的

→ &&, ||, !, ==, implies, iff
not
∃, ∀

Implies 说: if P implies Q holds, then P is said to be stronger than Q and Q is said to be weaker than P

and Q do not imply P, then P is strictly stronger than Q else (Q implies P): P iff Q

Bound: $\forall I, P \leftrightarrow \forall I, P$ } I is set to be bound
 $\exists I, P \leftrightarrow \exists I, P$ }

Correctness Statement:

ASSERT(P) /* 代码满足 pre condition
C → code segment
ASSERT(Q) /* 在运行 C 结束之后, 代码满足 post condition
} P {C} Q

注意, P {C} Q 是一个 correctness statement, 但是不是 assertion, a correctness statement is either true (valid) or false (invalid), independent of any particular state of a computation. 但是, an assertion can be true / false given different state

- a correctness statement is a statement about code satisfying a pre- and post- condition
- an assertion asserts a property of computational states

Simple assignment statement

→ 所以在这里面做到的题都是 correctness statement $\{ \{ c \} Q$

$$P \{ c \} Q$$

↓ - 一个例子

$$n == n0 \{ n = n - 1 \} n == n0 - 1$$

↓ 可以写成更 generalize 的形式

$$\text{Axiom Scheme: } V = I \{ V = E \} V = [E] \{ V \rightarrow I \}$$

→ Expression E with occurrences of V substituted by I

$$\text{Ex 1: } \underline{x = y} \{ x = 5x + 2 \} x = 5y + 2$$

$x \rightarrow y$

$$\text{Ex 2: } \underline{x = y} \{ x = 3 * x + 2 \} x = 3 * y + 2$$

$x \rightarrow y$

但是 Axiom Scheme 是有限制的, 那就是只有当 pre condition 是 $V = I$ 时可以用, 在这种基础上, 提出

$$\text{Hoare's axiom scheme: } [Q] (V \rightarrow E) \{ V = E \} Q$$

↓
Assertion Q ← 把 occurrences of V 换成 E

lecture 上给的概念: Given post condition, determine most general pre condition that guarantee post condition

$$\text{Ex: } n - 1 \geq 0 \{ n = n - 1 \} n \geq 0$$

$n = n - 1$

Substitution:

在做 substitution 的时候:

如果被替换的是一个 un bound / free 的 variable, 那没条件直接换

如果被替换的是一个 bound 的 variable, 那么换不成

如果 a 是不 free 的, 要替换 x, 但 $x = a$, 那要先给被 bound 的 a 换名字, 比如 t, 再正常替换

书上练习:

(i) $P \{ x = 0 \} x = 0$	$P: 0 = 0$	✓
(ii) $P \{ x = 0 \} x > 0$	$P: 0 > 0$	✓
(iii) $P \{ x = 0 \} x > 0$	$P: 0 > 0$	✗
(iv) $P \{ x = 0 \} y > 0$	$P: y > 0$	
(v) $P \{ x = x + 1 \} x = 1$	$P: x + 1 = 1$	
(vi) $P \{ x = x + 1 \} x > 0$	$P: x + 1 > 0$	
(vii) $P \{ x = x + 1 \} x = y$	$P: x + 1 = y$	
(viii) $P \{ x = x - 1 \} x = y - 1$	$P: x - 1 = y - 1$	

Assignment 7 2019

- (a) $P \{ x = 2; \} x == 1$
- (b) $P \{ x = 2; \} x > 2$
- (c) $P \{ x = y + z; \} x < y + z$
- (d) $P \{ x = x + y + z; \} z > x * x + 2$
- (e) $P \{ x = x + y + z; \} y * y > z + 5$
- (f) $P \{ x = y + z \} \text{Exists}(w = 0; w < 10) x + w == 50$
- (g) $P \{ x = y + z; \} \text{ForAll}(z = 1; z < 100) x + 2 * z > w + 2$
- (h) $P \{ x = y + z; \} \text{ForAll}(x = 1; x < z) x + y + 2 < 100$
- (i) $P \{ x = y + z; \} \text{ForAll}(y = 1; y < n) \text{Exists}(z = 1; z < n) x * y <= 3 * z + w$
- (j) $P \{ x = y + z; \} \text{ForAll}(y = 1; y < n) \text{Exists}(x = 1; x < n) x * y <= 3 * z + w$

(a) $q: 2 == 1 \quad \times$

(b) $q: 2 > 2 \quad \times$

(c) $y + z \neq y + z$

(d) $z > (x + y + z) \neq (x + y + z) + 2$

(e) $y * y > z + 5$

(f) $\text{Exists}(w = 0; w < 10) y + z + w == 50$

(g) $\text{For All}(t = 1; t < 100) y + z + 2 * t > w + 2 \rightarrow \text{先换名字}$

(h) $\text{For All}(x = 1; x < z) x + y + 2 < 100$

(i) $\text{For All}(t = 1; t < n) \text{Exists}(h = 1; h < n) (y + z) * t < 3 * h + w$

(j) $\text{For All}(y = 1; y < n) \text{Exists}(x = 1; x < n) x * y <= 3 * z + w$

Assignment 6 2020

- (a) $P \{ x = 1; \} x == 1$
- (b) $P \{ x = 1; \} x == 2$
- (c) $P \{ x = y + z; \} 0 < x + y + z$
- (d) $P \{ x = x * z + 3; \} x * x > y + 2$
- (e) $P \{ x = x * y * z + 1; \} y * y > x + 5$
- (f) $P \{ z = y + 1; \} \text{Exists}(y = 0; y < 10) z + y == 50$
- (g) $P \{ x = y + 1; \} \text{ForAll}(z = 1; z < 100) x + 2 * z > w + 2$
- (h) $P \{ x = z + 1; \} \text{ForAll}(z = 1; z < x) x + y + z < 100$
- (i) $P \{ x = x + y; \} \text{Exists}(x = 0; x < 10) x * x + z == 10$
- (j) $P \{ x = x + y; \} \text{Exists}(y = 0; y < 100) (x + y == 15 \quad || \quad z * x + y < 100)$

Using Mathematical Facts

* 首先, 了解一下 mathematical facts 这个概念是怎么来的

↳ ASSERT (n > 0)

// n > 0 implies n-1 >= 0 → 这一行就是 mathematical fact, 这一行 is true in every state

HA {
 → ASSERT (n-1 >= 0)
 n = n-1 ;
 ASSERT (n >= 0)

↳ 像这样的, 用 mathematical fact to strengthen a pre condition is formalized by

pre condition strengthening:

$$\frac{P' \{C\} Q \quad P \text{ implies } P'}{P \{C\} Q} \Rightarrow$$

或者是, 从 post condition 出发, 并可以得到 mathematical fact, 可以用

post condition weakening:

$$\frac{P \{C\} Q \quad Q \text{ implies } Q'}{P \{C\} Q'}$$

以上均是 inference rule: 在 inference rule 中, 只要上面的被证明了, 下面的就是对的

More inference rule:

sequencing:

$$\frac{P \{C_0\} Q \quad Q \{C_1\} R}{P \{C_0 C_1\} R}$$

这两个 inference rule 基本上是对等的

$$\frac{P \{C_0\} Q \quad Q \text{ implies } Q' \quad Q' \{C_1\} R}{P \{C_0 C_1\} R}$$

* 然在书里面提到了为什么用 formal proof 太麻烦, formal proof 是证明的一种形式, 但用 formal proof is difficult to read

所以改用 proof tableaux

- short hand notation for a formal proof
- contains: pre-condition, post-condition

↳ 就像:

ASSERT (n > 1)

ASSERT (n-1 > 0)

n = n-1

ASSERT (n > 0)

ASSERT (n >= 1)

Example:

Are the following correctness valid? (书 P 43)

ASSERT ($n < 0$)
 // Mathematical fact, $n < 0$ implies $n * n > 0$
 H.A. {
 ASSERT ($n * n > 0$)
 $n = n * n$
 ASSERT ($n > 0$)
}

Sequencing 的更深层例子:

ASSERT ($x == x_0 \ \&\& \ y == y_0$)
 H.A. {
 ASSERT ($x == x_0 \ \&\& \ y == y_0$)
 $z = x$
 ASSERT ($z == x_0 \ \&\& \ y == y_0$)
 $x = y$
 ASSERT ($z == x_0 \ \&\& \ x == y_0$)
 $y = z$
 ASSERT ($y == x_0 \ \&\& \ x == y_0$)
}

Each intermediate assertion is both a post and a pre condition, recall the sequencing inference rule

Sequencing:

$$\frac{\{C_0\} Q \quad Q \{C_1\} R}{\{C_0 C_1\} R}$$

$$\frac{\{C_0\} Q \quad Q \text{ implies } Q' \quad Q' \{C_1\} R}{\{C_0 C_1\} R}$$

Example: Verify the validity:

ASSERT ($i \geq 0 \ \&\& \ y == \text{power}(x, i)$)
 # This is valid since $i \geq 0$ implies $i + 1 \geq 0$ and $y == x^i$ implies $y * x == x^{i+1}$
 H.A. {
 ASSERT ($i + 1 \geq 0 \ \&\& \ y * x == \text{power}(x, i + 1)$)
 $y = y * x$
 ASSERT ($i + 1 \geq 0 \ \&\& \ y == \text{power}(x, i + 1)$)
 $i + 1$
 ASSERT ($i \geq 0 \ \&\& \ y == \text{power}(x, i)$)
}

Assignment 8, 2019

1. Verify following statement: valid!

ASSERT ($y == 0 \ \vee \ z \geq -1$)
 // if $y == 0$, then $z == z - 2y$ hold, if $z \geq -1$ then $z \geq 0$ hold
 ASSERT ($z \geq 0 \ \vee \ z == z - 2y$)

H.A. {
 ASSERT ($z - y \geq -y \ \vee \ z - y + y == z - y - y$)
 $x = z - y$
 ASSERT ($x \geq -y \ \vee \ x + y == x - y$)
}

H.A. {
 ASSERT ($x \geq x - (x + y) \ \vee \ x + y == x + x - (x + y)$)
 $z = x + y$
 ASSERT ($x \geq x - z \ \vee \ z == x + x - z$)
 $y = x - z$
 ASSERT ($x \geq y \ \vee \ z == x + y$)
}

→ 先替换成 $z == x$

→ 先替换成 $z \geq 0$

每一步都力求
把 ASSERT 里
的东西都
化得最简

Solution:

```
ASSERT( y == 0 || z > -1 ) // z > -1 implies z >= 0 when z is integer
ASSERT( z-y+y >= 0 || y == 0 )
x = z-y;
ASSERT( x+y >= 0 || x+y == x )
z = x+y;
ASSERT( z >= 0 || z == x ) // arithmetic simplification
ASSERT( x >= x-z || z == x+x-z )
y = x-z;
ASSERT( x >= y || z == x+y )
```

Example 2: Verify the validity of

$\text{ASSERT } k > 0 \ \&\& \ f == \text{Fib}(k) \ \&\& \ g == \text{Fib}(k-1)$

// $\downarrow ??$ 不知道如何 imply

// $k > 0$ Can't imply $k >= 0$, so this is invalid $\times$

HA $\rightarrow \text{ASSERT } k > 0 \ \&\& \ f+g == \text{Fib}(k+1) \ \&\& \ f == \text{Fib}(k)$
 $t = f+g$
HA $\rightarrow \text{ASSERT } k > 0 \ \&\& \ t == \text{Fib}(k+1) \ \&\& \ f == \text{Fib}(k)$
 $g = f$
HA $\rightarrow \text{ASSERT } k > 0 \ \&\& \ t == \text{Fib}(k+1) \ \&\& \ g == \text{Fib}(k)$
 $f = t$
HA $\rightarrow \text{ASSERT } (k > 0 \ \&\& \ f == \text{Fib}(k+1) \ \&\& \ g == \text{Fib}(k))$

应该是

HA $\rightarrow \text{ASSERT } k > 0 \ \&\& \ f+g == \text{Fib}(k+1) \ \&\& \ f == \text{Fib}(k)$ ✓ 这是个可行的 assertion
 $t = f+g$
HA $\rightarrow \text{ASSERT } k > 0 \ \&\& \ t == \text{Fib}(k+1) \ \&\& \ f == \text{Fib}(k)$
 $g = f$
HA $\rightarrow \text{ASSERT } k > 0 \ \&\& \ t == \text{Fib}(k+1) \ \&\& \ g == \text{Fib}(k)$
 $f = t$
HA $\rightarrow \text{ASSERT } (k > 0 \ \&\& \ f == \text{Fib}(k+1) \ \&\& \ g == \text{Fib}(k))$

If-Statement

→ 直接上: $\begin{cases} \neg \{ \text{if } (B) C_0 \text{ else } C_1 \} Q & \text{有 else} \\ \neg \{ \text{if } (B) C_0 \} Q & \text{没 else} \end{cases}$

inference rule:

$$\frac{\neg \&\& B \{ C_1 \} Q \quad \neg \&\& !B \{ C_2 \} Q}{\neg \{ \text{if } (B) C_0 \text{ else } C_1 \} Q}$$

上面这个 inference rule 给出了下面这个 proof tableau scheme:

```

ASSERT (P)
if (B)
  ASSERT (P && B)
  C0
  ASSERT (Q)
else
  ASSERT (P && !B)
  C1
  ASSERT (Q)
ASSERT (Q)
    
```

Example: ASSERT (z = y)
 if (w > z || y > x)
 { w = z - 1; x = y; }
 ASSERT (w = z - 1 && z = y && x = y)
 corresponding proof tableau

```

ASSERT (z = y)
if (w > z || y > x)
  ASSERT (z = y && (w > z || y > x))
  → ASSERT (z - 1 <= z <= x <= x)
  HA { w = z - 1
      → ASSERT (w = z - 1 && z = y && x = x)
      HA { x = y
          → ASSERT (w = z - 1 && z = y && x = y)
          ASSERT (w = z - 1 && z = y && x = y)
    }
  }
    
```

X ⇒

即使在 else 中没有任何东西, 也要翻这一部分
 → else

```

ASSERT (z = y)
if (w > z || y > x)
  ASSERT (z = y && (w > z || y > x))
  → ASSERT (z - 1 <= z <= y <= y)
  HA { w = z - 1
      → ASSERT (w = z - 1 && z = y && y = y)
      HA { x = y
          → ASSERT (w = z - 1 && z = y && x = x)
          ASSERT (w = z - 1 && z = y && x = x)
    }
  }
  ASSERT (z = y && ! (w > z || y > x))
  // ! (w > z || y > x) require w = z and y = x
  ASSERT (w = z && z = y && x = x)
  ASSERT (w = z && z = y && x = x)
    
```

Example 2 :

ASSERT ($y == y_0 \ \&\& \ z == z_0$)

if ($y < z$)

{ $y = z + 1$; $z = z + 2$; }

else

{ $z = y + 2$; $y = y + 1$; }

ASSERT ($\max(y_0, z_0) < y < z$)

⌊ corresponds proof tableau

ASSERT ($y == y_0 \ \&\& \ z == z_0$)

if ($y < z$)

ASSERT ($y == y_0 \ \&\& \ z == z_0 \ \&\& \ y < z$)

// $y < z$ implies the following mathematical fact

H.A. $\rightarrow$ ASSERT ($\max(y_0, z_0) < z + 1 < z + 2$) $\Rightarrow y == y_0 \ \&\& \ z == z_0 \ \&\& \ y < z$
 $y = z + 1$ implies $y_0 < z + 1 < z + 2$, which
 H.A. $\rightarrow$ ASSERT ($\max(y_0, z_0) < y < z + 2$) implies $\max(y_0, z_0) < z + 1 < z + 2$
 $z = z + 2$
 ASSERT ($\max(y_0, z_0) < y < z$)

else

ASSERT ($y == y_0 \ \&\& \ z == z_0 \ \&\& \ !(y < z)$)

// $\therefore !(y < z)$ implies $y > z$, so the following holds

H.A. $\rightarrow$ ASSERT ($\max(y_0, z_0) < y + 1 < y + 2$)
 $z = y + 2$
 H.A. $\rightarrow$ ASSERT ($\max(y_0, z_0) < y + 1 < z$)
 $y = y + 1$
 H.A. $\rightarrow$ ASSERT ($\max(y_0, z_0) < y < z$)
 ASSERT ($\max(y_0, z_0) < y < z$)

△

然在书介绍了只有 if 的情况，和之前笔记里介绍的一样，即使没有定义 else part，也要在 proof tableau 中有 else 的部分

⌋ 一道可以思考的题目： why $(B \text{ implies } P_0) \ \&\& \ (!B \text{ implies } P_1)$ is valid as a pre-condition.

ASSERT (P)

if (B)

ASSERT (P₀)

C₀

ASSERT (Q)

else

ASSERT (P₁)

C₁

ASSERT (Q)

ASSERT (Q)

while statement

↳ 提到了 loop, 就不得不提一个 loop Invariant: A single well chosen assertion

Loop Invariant

*1. Formalization of intuition

↳ Incremental (True at every iteration) → 每个循环都是 True 的

*2. In a list, invariants usually say something about the 'part of list'

↳ often talks about mathematical relationship / Size bound → 到这个在 223 中最常见

$A[0 \dots i-1]$
 $A[i \dots j]$

*3. Invariants have no concept of time

↳ can tell you: the statement about variable at some moment is true

*4. Loop Variant $\neq$ loop condition

书上: invariant may follow directly from some pre condition / some code like initializing
assignment may be needed immediately before the loop

循环不变式: 循环不变式是一种条件式, 对循环而言是保持不变的, 无论循环执行了多少次. 循环语句每执行一次, 就要求中间的结果必须不变式的要求

- (1) 进入循环语句时, 不变式必须成立
 - (2) 循环语句的循环体不能破坏循环不变式, 也就是说, 循环体开始循环时不变式成立, 结束时也必须成立
 - (3) 如果循环语句终止时不变式依旧成立, 那么至少说明, 循环在保持循环不变式上没有犯错
- 是否可以说明, 当循环破坏了不变式的话, 循环必定错, 当循环满足不变式时, 循环也不一定对

while:

Inference rule

$$\frac{I \ \&\& \ B \ \{c\} \ I}{I \ \{while \ B\} \ c \ \{I \ \&\& \ B\}}$$

⌊⌋

ASSERT (I) → 可以看到 ASSERT(I) 也是 loop 的 pre condition

while (B)

ASSERT (I && B)

c → 在运行 c 的时候, I 有可能会 false 一下, 但出来的时候, I 必须为 true

ASSERT (I)

ASSERT (I && !B)

Example:

```
while (i != n)
{
  y = y * n;
  i++;
}
```

书上:

we may prove following use assignment axiom scheme and sequencing rule:

ASSERT (I) $\rightarrow$ 选什么当 I 呢? $\Rightarrow$ $I \geq 0$

```
while (i != n)
  ASSERT (I && i != n)
```

$y = y * x$

$i++$

ASSERT (I)

ASSERT (I && !B)

$P \{C\} Q$

H.A. $\left\{ \begin{array}{l} \text{ASSERT } (i+1 \geq 0 \ \&\& \ y * x == \text{power}(x, i+1)) \\ \rightarrow \text{ASSERT } (i+1 \geq 0 \ \&\& \ y * x == \text{power}(x, i+1)) \\ y = y * x \\ \text{H.A. } \left\{ \begin{array}{l} \text{ASSERT } (i+1 \geq 0 \ \&\& \ y == \text{power}(x, i+1)) \\ i++ \\ \text{ASSERT } (i \geq 0 \ \&\& \ y == \text{power}(x, i)) \end{array} \right. \end{array} \right.$

Then we find following mathematic fact:

$P \text{ implies } P'$ $\left\{ \begin{array}{l} \{ y == \text{power}(x, i) \text{ implies } y * x == (x, i+1) \\ i \geq 0 \text{ implies } i+1 \geq 0 \end{array} \right.$



无法检测 termination
看 3rd example

$P \{C\} Q$

Then use pre conditioning rule to derive

ASSERT ($y == \text{power}(x, i) \ \&\& \ i \geq 0 \ \&\& \ i \neq n$)

$y = y * x$

$i++$

ASSERT ($i \geq 0 \ \&\& \ y == \text{power}(x, i)$)

ASSERT ($y == \text{power}(x, i) \ \&\& \ i \geq 0$) 是 invariant

ASSERT (true)

$\rightarrow$ ASSERT ($i == \text{power}(x, 0) \ \&\& \ 0 \geq 0$)

H.A. $i = 0;$ // 新增的两个 assignment

$\rightarrow$ ASSERT ($i == \text{power}(x, i) \ \&\& \ i \geq 0$)

H.A. $y = 1;$ //

ASSERT ($y == \text{power}(x, i) \ \&\& \ i \geq 0$) // I 在进入循环前也是正确的

while ($i \neq n$)

ASSERT ($y == \text{power}(x, i) \ \&\& \ i \geq 0 \ \&\& \ i \neq n$)

// $i \geq 0 \text{ implies } i+1 \geq 0$, $y == \text{power}(x, i) \text{ implies } y * x == \text{power}(x, i+1)$

$\rightarrow$ ASSERT ($y * x == \text{power}(x, i+1) \ \&\& \ i+1 \geq 0$)

H.A. $y = y * x$

$\rightarrow$ ASSERT ($y == \text{power}(x, i+1) \ \&\& \ i+1 \geq 0$)

H.A. $i++$

ASSERT ($y == \text{power}(x, i) \ \&\& \ i \geq 0$)

ASSERT ($y == \text{power}(x, i) \ \&\& \ i \geq 0 \ \&\& \ i = n$)

// $y == \text{power}(x, i) \ \&\& \ i = n \text{ implies } y == \text{power}(x, n)$

ASSERT ($y == \text{power}(x, n)$)

* 写循环的 proof tableau 时一定要注意:

ASSERT (true)

assignment - C ...

ASSERT (I) → 这个 assertion 是在 assignment 操作之后的

while (B)

ASSERT (I && B)

C

ASSERT (I)

ASSERT (I && !B) → 如果 I && !B 就是 post condition 的话, 就可以结束了, 但如果有个另外的 post condition Q, 在这里要表示 I && !B implies Q

ASSERT (Q)

Example 2:

I: $i+j == 100$ && $0 \leq i \leq 101$

ASSERT (true)

$i = 0$; $j = 100$;

⇒ HA $\left\{ \begin{array}{l} \text{ASSERT} (100 == 100 \text{ \&\& } 0 \leq 0 \leq 101) \\ i = 0; \end{array} \right.$

while ($i \leq 100$) {

$i = i+1$; $j = j-1$; }

HA $\left\{ \begin{array}{l} \text{ASSERT} (i+100 == 100 \text{ \&\& } 0 \leq i \leq 101) \\ j = 100; \end{array} \right.$

HA $\left\{ \begin{array}{l} \text{ASSERT} (i+j == 100 \text{ \&\& } 0 \leq i \leq 101) \\ \text{while} (i \leq 100) \{ \end{array} \right.$

ASSERT ($i+j == 100$ && $0 \leq i \leq 101$ && $i \leq 100$)

// $i+j == 100$ implies $i+j == 100$, $0 \leq i \leq 101$ && $i \leq 100$ implies $0 \leq i+1 \leq 101$

ASSERT ($i+j == 100$ && $0 \leq i+1 \leq 101$)

HA $\left\{ \begin{array}{l} \text{ASSERT} (i+1+j == 101 \text{ \&\& } 0 \leq i+1 \leq 101) \\ i = i+1; \end{array} \right.$

ASSERT ($i+j == 101$ && $0 \leq i \leq 101$)

HA $\left\{ \begin{array}{l} \text{ASSERT} (i+j-1 == 100 \text{ \&\& } 0 \leq i \leq 101) \\ j = j-1; \end{array} \right.$

ASSERT ($i+j == 100$ && $0 \leq i \leq 101$)

}

ASSERT ($i+j == 100$ && $0 \leq i \leq 101$ && $!(i \leq 100)$)

// $i+j == 100$ && $!(i \leq 100)$ implies $i == 101$ && $j == -1$

ASSERT ($i == 101$ && $j == -1$)

* Note that inference rule for while loops verifies only partial correctness, 即这个无法检测 while 循环停了没



$i=0$; $y=1$;

while ($i != n$) → 如果 $n < 0$, 这就不停

INVAR ($i \geq 0$ && $y == \text{power}(x, i)$)

{ $y = y * x$;

$i = i+1$;

}

$i \leq 101$ && $i > 100$ implies $i == 101$ ←

$i == 101$ && $i+j == 100$ implies $j == -1$

Example 3:

INVAR I: $y == \text{power}(x, n-k)$ & $0 \leq k \leq n$

ASSERT ($n \geq 0$)

$k = n$;

$y = 1$;

while ($k > 0$) {

INVAR (I)

$y = y * x$;

$k = k - 1$;

}

ASSERT ($y == \text{power}(x, n)$)

ASSERT ($n \geq 0$) // $n \geq 0$ implies $n \geq 0$

ASSERT ($1 == \text{power}(x, 0)$ & $0 \leq n \leq n$)

H.A. $k = n$;

ASSERT ($1 == \text{power}(x, n-k)$ & $0 \leq k \leq n$)

H.A. $y = 1$;

$\Rightarrow$ ASSERT ($y == \text{power}(x, n-k)$ & $0 \leq k \leq n$)

while ($k > 0$) {

ASSERT ($y == \text{power}(x, n-k)$ & $0 \leq k \leq n$ & $k > 0$)

// $y == \text{power}(x, n-k)$ implies $y * x == \text{power}(x, n-k+1)$

// $k > 0$ & $k \leq n$ implies $0 \leq k-1 \leq n$

$\Rightarrow$ ASSERT ($y * x == \text{power}(x, n-k+1)$ & $0 \leq k-1 \leq n$)

H.A. $y = y * x$;

ASSERT ($y == \text{power}(x, n-k+1)$ & $0 \leq k-1 \leq n$)

$\Rightarrow$ ASSERT ($y == \text{power}(x, n-(k-1))$ & $0 \leq k-1 \leq n$)

H.A. $k = k - 1$;

ASSERT ($y == \text{power}(x, n-k)$ & $0 \leq k \leq n$)

}

ASSERT ($y == \text{power}(x, n-k)$ & $0 \leq k \leq n$ & $!(k > 0)$)

// $k \geq 0$ and $k \leq 0$ implies $k == 0$

// $k == 0$ implies $y == \text{power}(x, n)$

ASSERT ($y == \text{power}(x, n)$)

Find a proper Invariant I

* Often, the invariant may be obtained by generalizing the post-condition of the specification.

$\Rightarrow$ A simple technique that frequently works is to replace a "size" constant by a variable that is used as a counter.

$\Rightarrow$ For example, 讲的就是第一个 example, the assertion $y == \text{power}(x, i)$ used in the invariant for the preceding loop is obtained from the post condition $y == \text{power}(x, n)$ by replacing n by i .

$\Rightarrow$ 在此基础上, it will usually be necessary to add range conditions on such a counter, 继续刚刚的 example: we added the assertion $i \geq 0$ to the invariant to ensure the $\text{power}(x, i)$ was meaningful

所需的 invariant 必须至少符合以下的条件:

1. It's preserved by loop body
2. It may be established initially by suitable assignment, taking into consideration the assumed pre condition
3. Together with the negation of the loop condition, it implies the desired post condition, perhaps after some finalizing code is executed

2nd extra page.

```

ASSERT( 1 <= n < max )
{ int i; i = 1;
  A[0] = 1;
  while( i < n ) { A[i] = A[i-1] + 3*i + 2;
                  i = i+1;
                } //end while
}
ASSERT(ForAll(k = 0; k < n) A[k] == (3*k*k + 7*k + 2)/2 )

```

找 invariant

 $\hookrightarrow$ ASSERT ($k=n \leq \max$)

{ int i;

 $i = 1;$ $A[0] = 1;$ ASSERT (I)while ($i < n$) { ... $i = i+1$ }

② 已知

ForAll ($k=0; k < i$) ... 在 invariant 中根据这个来判断赋值 i 和 i 的关系 $i+1$: 赋值 i 比 i 小
 $\therefore i < i+1$, 又: $!(i < n) \rightarrow i \geq n$ 要和 I 结合形成
 post condition, $\therefore i < i+1 \leq n$ 在 I 中
ASSERT (I && $!(i < n)$)ASSERT (ForAll ($k=0; k < n$) $A[k] == (3*k*k + 7*k + 2)/2$)Invariant: $i < i+1 \leq n$ && ForAll ($k=0; k < i$) ...

① I 经过两次替换后, 必定形成一个没有 forAll 的式子, 即

ForAll ($k=0; k < n$) 这个范围中只能有一个元素, 且这个元素必定是 $k=0$, 因为他 $A[0] = 1$ 利用 $i=1$, 得知, 此时 k 已经等于 0, 要缩小范围, 从 n 下手, $i=1$, $\therefore$ ForAll ($k=0; k < i$) ... 必在 invariant 中

Array Search

```

ASSERT ( 0 ≤ n ≤ max )
{
  int i;
  present = false;
  i = 0;
  while ( i != n ) {
    if ( A[i] == x ) present = true;
    i++;
  } // end while
  ASSERT ( present iff x in A[0: n-1] )
  Invariant; present iff x in A[0: i-1]
  && 0 ≤ i ≤ n

```

这是一个关于如何从一个嵌套代码中写 proof tableau 的
 例好, 看清楚 ASSERT 是怎样继续的

proof tableau:

ASSERT (0 ≤ n ≤ max) 补上最上面这行以及最下面那行的原因是 i 属于 local variable, the pre- and post-conditions do not mention i

→ Inference rule for local variable:

$$\frac{P \{ C \} Q}{P \{ T I; C \} Q}$$

I 的 type

```

{
  int i;
  ASSERT ( 0 ≤ n ≤ max )
  ASSERT ( false iff x in A[0: -1] && 0 ≤ 0 ≤ n )
  present = false;
  ASSERT ( present iff x in A[0: -1] && 0 ≤ 0 ≤ n )
  i = 0
  ASSERT ( present iff x in A[0: i-1] && 0 ≤ i ≤ n )
  while ( i != n ) {
    ASSERT ( present iff x in A[0: i-1] && 0 ≤ i ≤ n && i != n )
    // i ≥ 0 implies i ≥ 0, i != n && i ≤ n implies i ≤ n
    ASSERT ( present iff x in A[0: i] && 0 ≤ i ≤ n )
    if ( A[i] == x ) {
      ASSERT ( present iff x in A[0: i-1] && 0 ≤ i ≤ n && i != n && A[i] == x )
      ASSERT ( true iff x in A[0: i-1] && 0 ≤ i ≤ n )
      present = true
      ASSERT ( present iff x in A[0: i-1] && 0 ≤ i ≤ n ) // end if
    } else {
      ASSERT ( present iff x in A[0: i-1] && 0 ≤ i ≤ n && i != n && A[i] != x )
      // present iff x in A[0: i-1] implies present iff x in A[0: i-1]
      ASSERT ( present iff x in A[0: i-1] && 0 ≤ i ≤ n ) // end else
      ASSERT ( present iff x in A[0: i] && 0 ≤ i ≤ n )
      ASSERT ( present iff x in A[0: i] && 0 ≤ i+1 ≤ n )
      i++
      ASSERT ( present iff x in A[0: i-1] && 0 ≤ i ≤ n )
    } // end while
    ASSERT ( present iff x in A[0: i-1] && 0 ≤ i ≤ n && !(i != n) ) ✓
    // !(i != n) && i ≤ n implies i == n
    // i == n implies present iff x in A[0: n-1]
    ASSERT ( present iff x in A[0: n-1] ) ✓
  }
  ASSERT ( present iff x in A[0: n-1] ) *

```

因为 if/else 中最后一条 assertion, 应该是紧跟 if/else 的

应该移进去, 因为从 if 出来之后才进行的 i++, 才得到这个

还有许多 Example:

```
① f = 1; g = 0; k = 1;
while (k != n)
  INVARIANT (k > 0 && f == fib(k) && g == fib(k-1))
  { t = f + g; g = f; f = t; k++; }
}
```

Proof tableau:

```
ASSERT (true)
ASSERT (1 > 0 && 1 == fib(1) && g == fib(0))
f = 1;
ASSERT (1 > 0 && f == fib(1) && 0 == fib(0))
g = 0;
H.A. { ASSERT (1 > 0 && f == fib(1) && g == fib(0))
      k = 1;
      ASSERT (k > 0 && f == fib(k) && g == fib(k-1))
      while (k != n)
        ASSERT (k > 0 && f == fib(k) && g == fib(k-1) && k != n)
        // k > 0 implies k+1 > 0, f == fib(k) && g == fib(k-1) implies f+g
        // == fib(k+1)
        H.A. { ASSERT (k+1 > 0 && f+g == fib(k+1) && f == fib(k))
              t = f + g;
              H.A. { ASSERT (k+1 > 0 && t == fib(k+1) && f == fib(k))
                    g = f;
                    H.A. { ASSERT (k+1 > 0 && t == fib(k+1) && g == fib(k))
                          f = t;
                          H.A. { ASSERT (k+1 > 0 && f == fib(k+1) && g == fib(k))
                                k++;
                                ASSERT (k > 0 && f == fib(k) && g == fib(k-1))
                                // end while
                                ASSERT (k > 0 && f == fib(k) && g == fib(k-1) && !(k != n))
                              }
                            }
                        }
                    }
                }
            }
        }
    }
}
```

For statements:

for $CA_0; B; A_1; C$

Example

$\Rightarrow$ for $(i=0; i < n; i++) C$

A_0 and A_1 are assignments

B is a boolean condition

C is a statement

$\hookrightarrow$ 等同于以下这个 while statement

$A_0; \text{while } (B) \{ C; A_1; \}$

Corresponding proof tableau

ASSERT (P)

$(A_0) \rightarrow$ while 外面执行

ASSERT (I)

while $(B) \{$

ASSERT $(I \ \&\& \ B)$

C

$(A_1) \rightarrow$ while 里面执行

ASSERT (I)

$\} // \text{end while}$

ASSERT $(I \ \&\& \ !B)$

ASSERT (Q)

Example:

ASSERT $(0 \leq n \leq \text{max})$

$\{ \text{int } i;$

present = false;

for $(i=0; i < n; i++)$

if $(A[i] == x)$ present = true

$\}$

ASSERT (present iff x in $A[0:n-1]$)

ASSERT $(0 \leq n \leq \text{max})$

$\{ \text{int } i;$

present = false;

$i=0;$

ASSERT (I)

while $(i < n) \{$

ASSERT $(I \ \&\& \ i < n)$

if $(A[i] == x) \{$

ASSERT $(I \ \&\& \ i < n \ \&\& \ A[i] == x)$

present = true

ASSERT (I)

else

ASSERT $(I \ \&\& \ i < n \ \&\& \ !A[i] == x)$

ASSERT (I)

$i++$

ASSERT $(I) \} // \text{end while}$

ASSERT $(I \ \&\& \ !(i < n))$

Array Component assignment → Formalize reasoning about array-component assignments

code to interchange two array elements — assume all subscripts are within range of subscripts for A.

Not good {
 $\text{ASSERT } (A[i] == x_0 \ \&\& \ A[j] == y_0)$
 $A[i] = A[i] - A[j];$
 $A[j] = A[i] + A[j];$
 $A[i] = A[j] - A[i];$
 $\text{ASSERT } (A[i] == y_0 \ \&\& \ A[j] == x_0)$

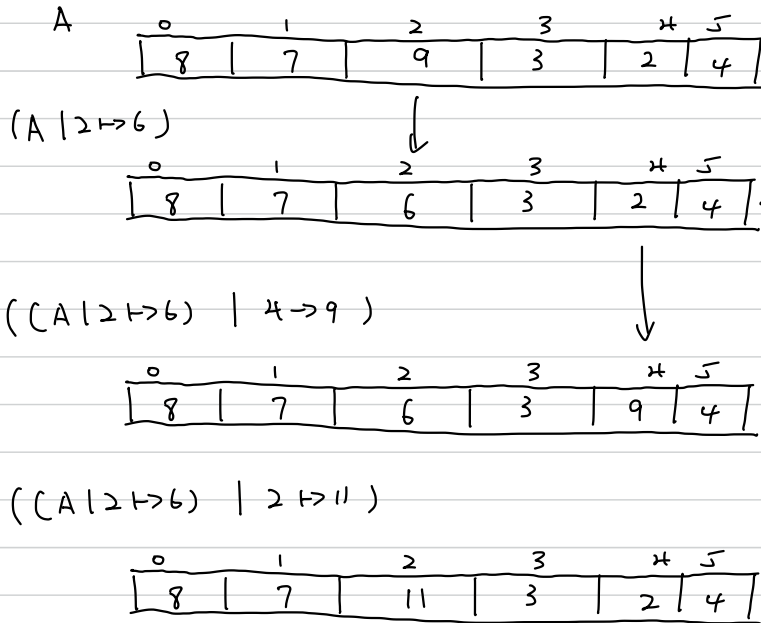
what can go wrong?

⇒ if $i=j$, code does not work

Instead of modifying individual array elements, we have to modify the entire Array

Notation: $(A \mid I \mapsto E) [I'] = \begin{cases} E & \text{if } I=I' \\ A[I'] & \text{if } I' \neq I \end{cases}$ 就是在 A 中把 $A[I]$ 这个位置的值覆盖成 E, 然后取 $A[I']$ 的值

Example (notation use):



$$(A \mid I \mapsto E) [I \mapsto E'] = (A \mid I \mapsto E')$$

Modified Hoare's Axiom Scheme

$$\{Q\} (A \mid I \mapsto A') \{A[I] = E\} \quad Q \Rightarrow \begin{cases} \text{ASSERT } (Q) \rightarrow Q \text{ 中若提及 Array A, 则} \\ A[I] = E \\ \text{ASSERT } (Q) \end{cases} \quad \begin{matrix} \text{把上面的这个 Q 中关于 A} \\ \text{的部分换成 } (A \mid I \mapsto E) \end{matrix}$$

Example:

$$\begin{aligned} & \text{H.A. } \left(\begin{aligned} & \text{ASSERT } ((A \mid i \mapsto 3) [j] \geq (A \mid i \mapsto 3) [i]) \\ & A[i] = 3; \\ & \text{ASSERT } (A[j] \geq A[i]) \end{aligned} \right) \end{aligned}$$

Rewrite pre-condition inform that does not have array component substitution

$$i=j: 3 \geq 3 \quad \text{true}$$

$$i \neq j: A[j] \geq 3$$

logical formula precondition becomes

$$\text{ASSERT } (A[j] \geq 3 \vee i=j)$$

Example 2

$$\begin{aligned} & \text{H.A. } \left(\begin{aligned} & \text{ASSERT } ((A \mid i \mapsto x) \mid k \mapsto y) [i] == 0) \\ & A[i] = x \\ & \text{ASSERT } (A \mid k \mapsto y) [i] == 0) \\ & A[k] = y \\ & \text{ASSERT } (A[i] == 0) \end{aligned} \right) \end{aligned}$$

Example:

```

ASSERT (0 ≤ i ≤ n-1 ∧ x == A[i]) // Invariant I = 0 ≤ i ≤ n ∧ x == A[i] ∧ A[n] == x
{
  int i;
  ASSERT (0 ≤ i ≤ n-1)
  ASSERT (0 ≤ 0 ≤ n-1 ∧ x == (A | n → x)[0:-1] ∧ (A | n → x)[n] == x)
  A[n] = x;
  H.A {
    ASSERT (0 ≤ 0 ≤ n-1 ∧ x == A[0:-1] ∧ A[n] == x)
    i = 0;
    ASSERT (0 ≤ i ≤ n-1 ∧ x == A[i] ∧ A[n] == x)
    while (A[i] != x) {
      ASSERT (0 ≤ i ≤ n-1 ∧ x == A[i] ∧ A[n] == x ∧ A[i] != x)
      // A[n] == x and A[i] != x implies i != n, 0 ≤ i ≤ n-1 and i != n implies 0 ≤ i ≤ n-2
      // 0 ≤ i ≤ n-2 implies 0 ≤ i+1 ≤ n-1, A[i] != x implies x != A[i]
      H.A {
        ASSERT (0 ≤ i+1 ≤ n-1 ∧ x == A[i+1] ∧ A[n] == x)
        i++;
        ASSERT (0 ≤ i ≤ n-1 ∧ x == A[i] ∧ A[n] == x)
      }
    }
    // end-while
    ASSERT (0 ≤ i ≤ n-1 ∧ x == A[i] ∧ A[n] == x ∧ ! (A[i] != x))
    // A[i] == x ∧ A[n] == x implies i == n, which implies following
    H.A {
      ASSERT (i == n) iff x in A[0:n-1]
      present = (i == n)
      ASSERT (present iff x in A[0:n-1])
    }
  }
}

```

Example:

```

ASSERT (A[i] == y ∧ A[j] == x)
ASSERT (A[j] == y ∧ A[i] == x)
{
  int z;
  H.A {
    ASSERT ((A | i → A[j]) | j → A[i])[i] == y ∧ A[i] == x
    z = A[i];
    ASSERT ((A | i → A[j]) | j → z)[i] == y ∧ z == x
    H.A {
      A[i] = A[j]
      ASSERT ((A | j → z)[i] == y ∧ (z == x))
      ASSERT ((A | j → z)[i] == y ∧ (A | j → z)[j] == x)
      A[j] = z;
    }
    ASSERT (A[i] == y ∧ A[j] == x)
  }
}

```

Handwritten notes in red:

- 最外面 (outermost)
- 把 A[i] == A[j] (swap A[i] and A[j])
- A[i] == y

Example 2

$\neg A \rightarrow \text{ASSERT}((A[i] \mapsto x) \mid K \mapsto J) \mid [J] == 0)$

$\neg A \rightarrow \text{ASSERT}(A[i] = x)$

$\neg A \rightarrow \text{ASSERT}((A) \mid K \mapsto J) \mid [J] == 0)$

$\neg A \rightarrow \text{ASSERT}(A[K] = J)$

$\neg A \rightarrow \text{ASSERT}([A[J]] == 0)$

Rewrite logical formula:

$j = k : j == 0 \text{ false}$

$j \neq k : j == i : x == 0$

$j \neq i : A[j] == 0$

$\Rightarrow (j \neq k \ \& \ j == i \ \& \ x == 0) \mid (j \neq k \ \& \ j \neq i \ \& \ A[j] == 0)$

有的时候不一定都 hold, 要讨论

Example: Shifting array elements from even numbered positions to a "contiguous chunk" in the beginning

interface `const int n;`

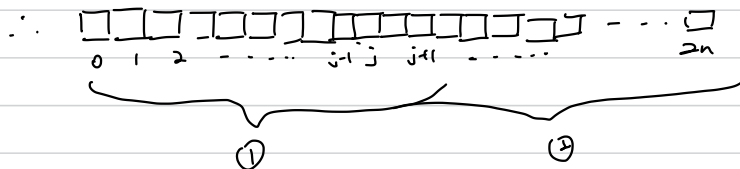
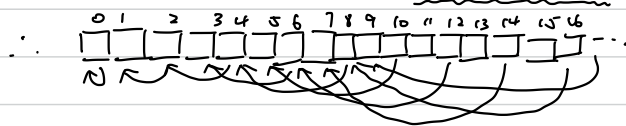
`Entry A[2n];` // entries numbered $0, \dots, 2n-1$

Precondition

$n \geq 0 \ \& \ A == A_0$

Post condition

For All $(i=0; i < n) \ A[i] == A_0[2i]$



(1) For All $(i=0; i < j) \ A[i] == A_0[2i]$:

Says what are values in modified part of array.

At end of loop implies post condition

(2) For All $(i=j; i < 2n) \ A[i] = A_0[i]$:

Says what are values in unmodified part of array

This is needed to verify that Assignment $A[i] = A_0[i]$ modifies invariant correctly

$\Rightarrow$ 是前面的 while loop 的一个概念; True before entering the loop; preserve by loop body

* Second part is needed because the code "moves around" element of the array (from unmodified $\rightarrow$ modified)

14

```
ASSERT (n >= 1 && A == A0)
```

```
j = 1;
```

```
while ( j != n ) {  
    A[j] = A[2*j];  
    j++;  
}
```

```
ASSERT (ForAll (i=0; i<n) A[i] == A0[2i])
```

invariant I: $1 \leq j \leq n$ &&

$\text{ForAll } (i=0, i < j) A[i] == A0[2i]$ &&

$\text{ForAll } (i=j, i < 2n) A[i] == A0[i]$

→ 刚刚讲的 不变式 所以是 不变式 invariant 了

invariant I:

$1 \leq j \leq n \ \&\& \text{ForAll}(i=0; i < j) \ A[i] == A0[2*i] \ \&\& \text{ForAll}(i=j; i < 2n) \ A[i] == A0[i]$

ASSERT($n \geq 1 \ \&\& \ A == A0$) /*implies below assertion*/

ASSERT($1 \leq j \leq n \ \&\& \text{ForAll}(i=0; i < j) \ A[i] == A0[2*i] \ \&\& \text{ForAll}(i=j; i < 2n) \ A[i] == A0[i]$)

j = 1;
ASSERT(I)

while(j != n) {

• ASSERT(I && j != n) /* implies below assertion:*/
/* explain in class */

ASSERT($1 \leq j+1 \leq n \ \&\& \text{ForAll}(i=0; i < j+1) \ (A \mid j \mapsto A[2*j])[i] == A0[2*i] \ \&\& \text{ForAll}(i=j+1; i < 2n) \ (A \mid j \mapsto A[2*j])[i] == A0[i]$) &&
A[j] = A[2*j];

ASSERT($1 \leq j+1 \leq n \ \&\& \text{ForAll}(i=0; i < j+1) \ A[i] == A0[2*i] \ \&\& \text{ForAll}(i=j+1; i < 2n) \ A[i] == A0[i]$)

j++;
ASSERT(I)

} //end while

ASSERT(I && j == n) /*implies below assertion*/

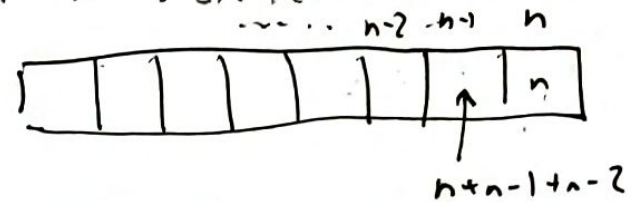
ASSERT($\text{ForAll}(i=0; i < n) \ A[i] == A0[2*i]$)

• $j \leq n \ \&\& \ j \neq n$ implies $j+1 \leq n$

• F_1 with $j = i$ gives $A[2*i] == A0[2*i]$ true by 2nd
 $j \neq i \ (i = 0, \dots, j-1)$ is the first Forall statement in I ForAll in invariant

• F_2 is the same as 2nd ForAll of I except
range of values is smaller

8 } Example. All entries in segment $A[0: \text{max}]$ are defined.



ASSERT($1 \leq n < \text{max}$)

{ int j; j = n-1;

A[n] = n;

← ASSERT(I)

{ j = n-1
A[n] == n

while (j > 0) { A[j] = A[j+1] + j;

j = j-1; } //end-while

}

ASSERT(ForAll($\overset{j=0}{k = 1; k < n+1}$) A[k] == $(n-k+1)*(n+k)/2$)

invariant I : $0 \leq j \leq n-1$ &&

ForAll ($k=j+1; k \leq \underset{k=n}{n+1}$) A[k] == $(n-k+1)*(n+k)/2$)

invariant says what
are values in
modified part of
array

2. As the invariant I we choose:

20

$$0 \leq j \leq n-1 \ \&\& \ \text{ForAll}(k = j+1; k < n+1) \ A[k] == (n-k+1)*(n+k)/2$$

The proof tableau is as follows:

```
• ASSERT(1 ≤ n < max) // n ≥ 1 implies n-1 ≥ 0
(1) { ASSERT(0 ≤ n-1 && true ) // variable declaration does not affect reasoning
    { int j;
      ASSERT(0 ≤ n-1 && n == (n-n+1)*(n+n)/2 ) //range of ForAll consists of n
      ASSERT(0 ≤ n-1 ≤ n-1 && ForAll(k = n; k < n+1) (A|n+→n)[k] == (n-k+1)*(n+k)/2 )
        j = n-1;
      ASSERT(0 ≤ j ≤ n-1 && ForAll(k = j+1; k < n+1) (A|n+→n)[k] == (n-k+1)*(n+k)/2 )
        A[n] = n;
    }
  • ASSERT(I)
    while (j > 0) {
      • ASSERT( I && j > 0)
        // j > 0 implies j-1 ≥ 0 when j int
        // I implies below ForAll() statement,
        // and I implies A[j+1] + j == (n-j-1+1)*(n+j+1)/2 + j
        // == (n*n + n - j*j + j)/2 == (n-j+1)(n+j)/2
        (3) ASSERT(0 ≤ j-1 ≤ n-1 && A[j+1]+j == (n-j+1)*(n+j)/2 &&
          ForAll(k=j+1; k < n+1) (A|j+→A[j+1]+j)[k] == (n-k+1)*(n+k)/2)
          //in below assertion write separately the equation when k==j
          //and note (A|j+→A[j+1]+j)[j] == A[j+1] + j
          ASSERT(0 ≤ j-1 ≤ n-1 && ForAll(k=j; k < n+1) (A|j+→A[j+1]+j)[k] == (n-k+1)*(n+k)/2)
            A[j] = A[j+1] + j;
          ASSERT( 0 ≤ j-1 ≤ n-1 && ForAll(k = j-1+1; k < n+1) A[k] == (n-k+1)*(n+k)/2)
            j = j-1;
        }
      • ASSERT(I)
    } //end-while
  }
  (2) • ASSERT(I && j ≤ 0) //j ≤ 0 && 0 ≤ j implies j == 0
    //j == 0 && I implies postcondition
    • ASSERT( ForAll(k = 1; k < n+1) A[k] == (n-k+1)*(n+k)/2 )
```

(4) The loop terminates because, by the invariant, j is non-negative and each iteration of the loop decrements j by one.

Example $\text{ASSERT}((A[j] \mapsto 3) \mid k \mapsto x+2)[m] > x+2)$

H.A. $\uparrow A[j] = 3$

$\text{ASSERT}((A[k \mapsto x+2])[m] > \underbrace{(A[k \mapsto x+2])[k]}_{x+2})$

H.A. $\uparrow A[k] = x+2$
 $\text{ASSERT}(A[m] > A[k])$

Rewrite as logical formula w/o

array
component
assignment
notation

- $m == k : x+2 > x+2$ false
- $m != k : \underbrace{j == m : 3 > x+2}_{j != m : A[m] > x+2}$

Formula:

$(k != m \ \&\& \ j == m \ \&\& \ 3 > x+2) \mid \mid$
 $(k != m \ \&\& \ j != m \ \&\& \ A[m] > x+2)$

在做此类型的有关 Array 的 "modified hoare axiom" 时, 推到上面 pre condition 之后, 需要推出类似这样的逻辑关系, 再根据

据这一层逻辑关系, 确定这个

Non-interference Principle

ASSERT(P)

C

ASSERT(P)

is valid if

i) C has no assignment to variables used in P and

ii) C has no side-effects to variables in P

∴ 如果这个 hold $\rightarrow$ C 不影响 P

E.g. ASSERT(A == A₀) $\Downarrow$ implies

ASSERT (ForAll (i=0; i < n) A[i] == A₀[i])

int k;

A[n] = target;

k = 0

while (A[k] != target) k++;

present = (k < n);

no assignment / side effect
to A[0 : n-1]

ASSERT (ForAll (i=0, i < n) A[i] == A₀[i])

We have verified two correctness statement for the array search code C

Can combine w/ bounds as

ASSERT (0 <= n < max

&& A == A₀)

C

ASSERT (present iff target in A[0 : n-1]

&& ForAll (i=0; i < n) A[i] == A₀[i])

$\Rightarrow$ No assignment / side effect

inference rule

$$\frac{P_1 \{C\} Q_1 \quad P_2 \{C\} Q_2}{P_1 \&\& P_2 \{C\} Q_1 \&\& Q_2}$$

Justification Using precondition strengthening

$P_1 \&\& P_2 \{C\} Q_i, \quad i=1, 2$

New inference rules Post-condition conjunction

$$\frac{P \{C\} Q_1 \quad P \{C\} Q_2}{P \{C\} Q_1 \&\& Q_2}$$

$$\frac{P_1 \{C\} Q_1 \quad P_2 \{C\} Q_2}{P_1 \parallel P_2 \{C\} Q_1 \parallel Q_2}$$

Intro to Computability (Ch 12)

Algorithmic problem:

- Potentially infinite set of inputs
- a function that associate an output to each input

Solution for algorithmic problems:

- Algorithm that for each input computes the correct output

Unimplementable / Unsolvable

- many well defined and natural algorithmic problem can't be solved (i.e. an algorithm does not exist)

Halting problem: Specification #判断一个函数是否在接收到一个 arg 后停下

Implement a function HALTS in C that receive two file. parameters
func and arg

- i) Must return true if the file func contains a C function definition with one file parameter, and the function terminates if applied to arg.

file.c
bool test (FILE f)
{ ... }

cii) Returns false otherwise

Diagonalization

Technique for solving unsolvability

we assume HALTS can be implemented and derive a contradiction

bool halts (FILE func, FILE* arg) { ... }

assume this returns properly

test.c

```
int test (FILE* f) {  
    FILE* a = tempfile();  
    copy (f, a); /* copies file f to a */  
    if (halts (f, a) while (true)) { }  
    else return 0;  
}
```

用 test 跑 halts (test, a)



停的话就 infinite loop

不停的话就 return false

Run test on test

* if halts return true $\rightarrow$ program will infinite loops
should be false

$\Rightarrow \Leftarrow$

* if halts return false $\rightarrow$ program terminates

$\Rightarrow \Leftarrow$ ~~should be true~~

Assumption

HALTS

returns properly is false

$\Rightarrow$ HALTS is not solvable

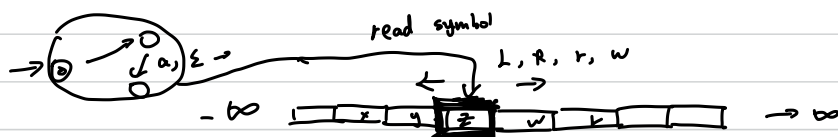
可以理解第 2 part 的 contradiction: 如果 halts 是 false, 它停不下来, ~~return~~

From a computability point of view, all high level programming languages (C, Java, Python) are equivalent: exact same function can be implemented in each language

* There exist various simple theoretical models that are equivalent to general purpose programming language

- most well known is Turing Machine

- Turing machine is a pushdown automata where the reading head can go into the stack and rewrite symbol inside of it.



Church-Turing thesis

Any function that can be implemented by an informal algorithm can be implemented on a Turing Machine

- C.T is believed to be true

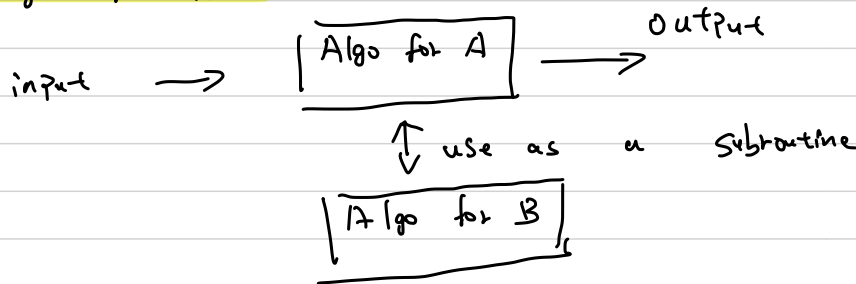
- impossible to prove it, because "informal algorithm" doesn't have a great definition

Reductions (和 365 的一样)

解决 B 的方法可以拿来解决 A
 $\downarrow$
 A reduces to B

Algorithmic problem A reduces to Problem B

if a (supposed) algorithm for B can be used to construct algo for A



Note the definition does not imply B has an algorithm

Example Know HALTS () is unsolvable

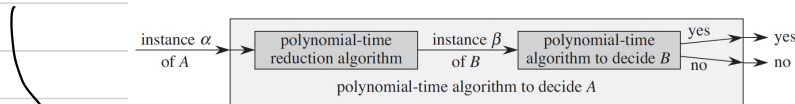
bool Halts On Empty (FILE func)

* Returns true if func is a definition of an int function with one file parameter and that function halts when applied to the empty file
 * Returns false otherwise

i) Halts on Empty reduces to general halts
 (is a special case of halts)

ii) In order to show Halts on Empty is unsolvable, need to reduce halts to halts on Empty.

↳ Reduction! “在解决一个问题之后，这个问题的答案可以解决另一个问题，那么另一个问题必定比直接解决该问题要简单”



Any instance of A can be transformed in polynomial time into an instance of B in an answer preserving way

P_1 : Given a set S of n integers, does S contain the value 4?

P_2 : Given a set S of n integers, does S contain the target integer k ?

↳ P_1 比 P_2 简单 $\Rightarrow P_1$ reduce to P_2 $\checkmark \Rightarrow P_1$ 比 P_2 简单

P_2 比 P_1 简单 $\Rightarrow P_2$ reduce to $P_1 \Rightarrow \checkmark$

$\downarrow$
 S' 变成 $S - k + 4 \rightarrow$ 存在 4 即存在 k

223 Notation : $\text{halts} \leq_p \text{halts on Empty}$
 $\downarrow$
 reduces to polynomial time

halts $\leq$ Halts On Empty reduction

目标: 用 halts on Empty 解决 halts

↳ func, arg $\rightarrow$ FuncArg

Implement a file transformation

merge(func, arg, FuncArg)

if func contains

int f(FILE* a) { C }

then merge writes FuncArg :

int f(FILE* b) {

↳ new description, function ignores the

FILE* a = tmpFile();

fprintf(a, "%s", "arg")

↳ contents of file arg

C

}

Note: The code C uses file *a

Function written to FuncArg on any input behaves like func on input arg
所以 Func arg 只是把本来的 func on arg 给 copy 下来

bool halts(FILE* func, FILE* arg) {

FILE* FuncArg = tmpFile();

merge(func, arg, FuncArg);

return Halts On Empty(FuncArg);

}

Function written to FuncArg on the empty file behaves as
func on input arg

Assuming Halts on Empty() satisfies its specification, then implementation of halts is correct.

Halts reduce to Halts on Empty $\rightarrow$ Halts On Empty is unimplementable / unsolvable

↑
上面的方法在解决了 Halts On Empty 后, 可解决 Halts,

halts(func, arg)

returns true if

func applied to arg
func(arg)

把 func, arg 的行为复制到了
func arg 中, 这样 func arg with
empty file 的行为 = func on arg

Rice's Theorem $\leftarrow$ formalized as

Using similar "programming tricks" we can show that "practically all" algorithmic problem related to program correctness or termination are unsolvable

Decision Problem

Output is yes or no

Semantic Property of program:

property that relates to behaviour of program:

- on input x , on output y
- terminates on input x

Syntactic Property property depending on the code

- program has correct C syntax
- program has 1000 lines

Non-trivial decision property:

some inputs have the property and
some do not

Rice's Theorem

All non-trivial semantic decision properties of programs are unsolvable

Semantic property: relates to input/output behaviour of the program

Unsolvable problems for strings

Post Correspondence Problem (PCP)

Input: two sequences of strings

$(u_1, \dots, u_k)$ $(v_1, \dots, v_k)$

Question: Does there exist a sequence of indices $i_1, \dots, i_m$ such that $u_{i_1} u_{i_2} \dots u_{i_m} = v_{i_1} \dots v_{i_m}$

Note

the $1, \dots, k$ may repeat in the sequence $i_1, \dots, i_m$

Example

(a^2, b^2, ab^2) (a^2b, ba, b)

Solution: $aa \left(\begin{array}{l} bb \\ aab \end{array} \right) \left(\begin{array}{l} aa \\ ba \end{array} \right) \left(\begin{array}{l} abb \\ aab \end{array} \right) \left(\begin{array}{l} b \end{array} \right)$

Turing Machine halting problem reduces to PCP

$\hookrightarrow$ PCP is unsolvable

Using a reduction from PCP we can show various problems for CFG (Context free grammar) are unsolvable.

- CFG equivalence
- does a CFG generate all terminal strings
- deciding ambiguity of CFG

Note CFG are useful because parsing can be done efficiently

Regular languages

- all "natural" decision problems are solvable for regular language
 $\rightarrow$ solvable in principle: we do not care about resources used by the algorithm
- There are known examples of unsolvable problems but have an "unnatural" / "Artificial" definition
- Computational complexity
 - * Existence of an algorithm does not imply it's solvable in practice
 - * Integer factorization
 - Trivially solvable in the computability sense
 - No efficient algorithm known
 also non hardness
 - * Many problems for regular language that can't be solved efficiently:
 - NFA minimization, NFA equivalence
 - regex minimization, regex equivalence
 $\rightarrow$ problems are PSPACE-complete